

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

---

In re Patent Application of:  
Maik Kindermann et al.

Application No.: 10/529,651

Confirmation No.: 2263

Filed: March 31, 2005

Art Unit: 1624

For: SUBSTRATES FOR O<sup>6</sup>-ALKYLGUANINE-  
DNA ALKYLTRANSFERASE

---

Examiner: M. L. Berch

**REQUEST FOR CORRECTED FILING RECEIPT**

Office of Initial Patent Examination's Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has an error in

Page 2, Title: Substrates for O<sup>6</sup>-alkylguanina-dna alkyltransferase

Should be: Substrates for O<sup>6</sup>-alkylguanine-DNA alkyltransferase

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Applicant believes no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 14-0740, under Order No. CV-E-002-PUS from which the undersigned is authorized to draw.

Dated: August 17, 2010

Respectfully submitted,  
Electronic signature: /Harriet M. Strimpel,  
D.Phil./  
Harriet M. Strimpel, D.Phil.  
Registration No.: 37,008  
NEW ENGLAND BIOLABS, INC  
240 County Road  
Ipswich, Massachusetts 01938  
(978) 380-7373  
(978) 356-5094 (Fax)  
Attorney For Applicant



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22304-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/529,651	03/31/2005	1624	725	2005-0427A	4	31	3

CONFIRMATION NO. 2263

## CORRECTED FILING RECEIPT

\*0C00000001806226\*

513  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K STREET N.W.  
SUITE 800  
WASHINGTON, DC 20006-1021

RECEIVED  
FEB 17 2006

WENDEROTH, LIND &amp; PONACK

Date Mailed: 02/15/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Maik Kindermann, Basel, SWITZERLAND;  
Kai Johnsson, Lausanne, SWITZERLAND;  
Christoph Bieri, Basel, SWITZERLAND;

Power of Attorney: The patent practitioners associated with Customer Number **513**.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/10889 10/01/2003

## Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 02405854.7 10/03/2002

If Required, Foreign Filing License Granted: 02/15/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/529,651**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

see page 2

\*\* SMALL ENTITY \*\*

Title

*alkylguanine - DNA*  
 Substrates for ~~o6-alkylguanine DNA~~ alkyltransferase

Preliminary Class

544

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce Initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

### LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted